



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: _____

Date & Time Received: _____

Date & Time of Response: _____

Entity Requesting FRF: _____

Title of Project: _____

Administrative Oversight: _____

Amount of Funding Requested: _____

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: _____

Signature of DOJ Reviewer:  _____

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.



THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR GOVERNANCE-CERTIFIED CHAPTERS

Part 1. Identification of parties.

Governance-Certified Chapter requesting FRF: Nazlini Chapter Government Date prepared: Oct 1, 2023
Chapter's mailing address: P.O. Box 7387, Nazlini, Arizona 86540-7387 phone & email: (928) 755-5900
This Form prepared by: Melissa Winney, Chapter Manager phone/email: (928) 755-5900

CONTACT PERSON'S name and title

CONTACT PERSON'S info

Title and type of Project: N-8015: Safety, Drainage & Connectivity Road Improvement Project (Revenue Replacement)

Chapter President: Lee V. Bigwater phone & email: lbigwater@naataanii.org
Chapter Vice-President: Joseph Dedman phone & email: josephdedman32@gmail.com
Chapter Secretary: JoAnn Dedman phone & email: asdzaaltsui@hotmail.com
Chapter Treasurer: JoAnn Dedman phone & email: asdzaaltsui@hotmail.com
Chapter Manager or CSC: Melissa Winney, Chapter Mgr. phone & email: mwinney@nnchapter.org
DCD/Chapter ASO: Edgerton Gene, Sr. Prog./Proj. Sp. phone & email: (928) 674-2251 egene@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): document attached

Amount of FRF requested: \$5,200,000 FRF funding period: October 1, 2023 - December 31, 2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

This Nazlini N-8015: Safety, Drainage & Connectivity Road Improvement project will deliver safety, drainage & road improvements. This project will address safety issues that occur in wet or icy road conditions from flooding. This project will provide access to employment, school, expand community services & provide logistical support in emergencies. This project will improve quality of life & transportation equity for local residents. This project directly address deficiencies connected to the high outbreak of COVID-19 on the Navajo Nation. Access to utilities & government services facilitates sustainable economic & social growth & can drastically increase the quality of healthcare provided. document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Most rural communities on the Navajo Nation lack access to governmental services & COVID-19 impeded these operations. This road improvement project will contribute & strengthens the local direct mitigation, preparedness, response & recovery of local governmental services & local emergency situations to address the needs for the community. This project will assist in promoting public health & economic stimulus & the Navajo people directly benefit from this project. document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

APPENDIX A

Based on the funding, the project end date will be December 31, 2026 with all funds being incurred before December 31, 2024. Navajo Nation Budget Form 2 are attached for phase goals per quarter of funding period.

document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Nazlini Chapter Government Chapter Manager will be implementing the NN ARPA Funding Act & Nazlini N-8015: Safety, Drainage & Connectivity Road Improvement project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Navajo Department of Transportation will be responsible for operation and maintenance of N-8015, no additional cost or recurring cost will be incurred by Nazlini Chapter Government once project is completed.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Categories: Revenue Replacement: 6.1 - Provision of Government Services

Pursuant to the U.S. Treasury guidelines and funding objectives, this road improvement project will address the conditions that contributed to poor public health & economic outcomes during the pandemic. By concentrating in areas with limited economic opportunities & inadequate or poor housing quality, the access to resources facilitates improved health outcomes including services connecting residents with healthcare resources, public assistance & build healthier environments to support healthy living environments & communities conducive to mental & physical wellness. The exacerbation of health disparities during the pandemic & pre-existing social vulnerabilities are responsive to the public health impact of the pandemic. This project will support access to govt. services for healthy living.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: Melvin Wainey signature of Preparer/CONTACT PERSON

Approved by: [Signature] signature of Chapter President (or Vice-President)


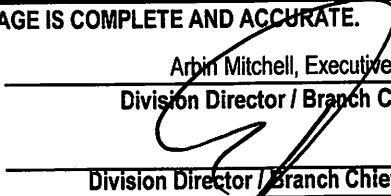
Approved by: Melvin Wainey signature of Chapter Manager of CSC

Approved by: [Signature] signature of DCD/Chapter AGO

Approved to submit for Review: [Signature] signature of DCD Director

FY 2024

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

PART I. Business Unit No.: <u>NEW</u>				Program Title: <u>Nazlini Chapter Gov't (6.1 Revenue Repl.: Govt. Svcs).</u>				Division/Branch: <u>DCD/ Executive</u>			
Prepared By: <u>Melissa Winney, Chapter Manager</u>				Phone No.: <u>(928) 755-9500</u>				Email Address: <u>mwinney@nnchapters.org</u>			
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY		Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total		
NN Fiscal Recovery Funds	10/1/23-12/31/26	5,200,000.00	100%								
				2001	Personnel Expenses						
				3000	Travel Expenses						
				3500	Meeting Expenses						
				4000	Supplies						
				5000	Lease and Rental						
				5500	Communications and Utilities						
				6000	Repairs and Maintenance						
				6500	Contractual Services	6	0	5,200,000.00	5,200,000.00		
				7000	Special Transactions						
				8000	Public Assistance						
				9000	Capital Outlay						
				9500	Matching Funds						
				9500	Indirect Cost						
				TOTAL			\$0.00	5,200,000.00	5,200,000.00		
				PART IV. POSITIONS AND VEHICLES			(D)	(E)			
				Total # of Positions Budgeted:			0	0			
				Total # of Vehicles Budgeted:			0	0			
				TOTAL:				\$5,200,000.00	100%		
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.											
SUBMITTED BY: <u>Melissa Winney, Chapter Manager</u>				APPROVED BY: <u>Arbin Mitchell, Executive Director - DCD</u>							
Program Manager's Printed Name				Division Director / Branch Chief's Printed Name							
											
Program Manager's Signature and Date				Division Director / Branch Chief's Signature and Date							

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW Program Name/Title: Nazlini Chapter Gov't (6.1 Revenue Relp.: Govt. Svcs.)

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

The Navajo Nation Chapters Plan of Operations is reference in Title 26 of the Navajo Nation Code.

PART III. PROGRAM PERFORMANCE CRITERIA:

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

1. Goal Statement:

Provide funds for road improvements for area residents to improve quality of life & connectivity.

Program Performance Measure:

Assist Nazlini & surrounding communities with the delivery of a safe roads & emergency services

1		1		1		1	
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2. Goal Statement:

Program Performance Measure:

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3. Goal Statement:

Program Performance Measure:

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4. Goal Statement:

Program Performance Measure:

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5. Goal Statement:

Program Performance Measure:

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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Melissa Winney, Chapter Manager

Program Manager's Printed Name

Melissa Winney
Program Manager's Signature and Date

Arbin Mitchell, Executive Director - DCD

Division Director/Branch Chief's Printed Name

Arbin Mitchell
Division Director/Branch Chief's Signature and Date

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Nazlini Chapter Gov't (6.1 Revenue Repl.: Govt. Svcs.)</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6960 6990	<p>Subcontracted Services Subcontracted Services Project will provide a comprehensive rehabilitation & road improvement of N-8015 for connectivity & provide logistical support for emergency response.</p> <p>Revenue Replacement: Provision of Government Services - ARPA Chapter N-8015: Safety, Drainage & Connectivity road improvement project. This project addresses safety issues that occur in wet or icy road conditions from flooding. This project will provide access to employment, schools, expand community services & provide logistical support in emergencies. This project will improve quality of life & transportation equity for local residents. This project will directly address deficiencies connected to the high outbreak of COVID-19 on the Navajo Nation. Access to utilities & government services facilitates sustainable economic & social growth & can drastically increase the quality of healthcare provided.</p>	5,200,000	5,200,000
TOTAL		5,200,000	5,200,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u>															PART II. Project Information																											
Project Title: <u>Nazlini Chapter Government - Chapter Road Improvement Project (6.1 Revenue Replacement: Provisions of Govt. Services)</u>															Project Type: <u>Road Improvement Project</u>																											
Project Description <u>N-8015: Safety, Drainage & Connectivity road improvement project will deliver safety, drainage & road improvements.</u>															Planned Start Date: <u>10/1/2023</u>																											
<u>This project will address safety issues that occur in wet or icy road conditions from flooding. This project will improve quality of life.</u>															Planned End Date: <u>12/31/2026</u>																											
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification															Project Manager: <u>Melissa Winney</u>																											
PART III.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																								Expected Completion Date if project exceeds 8 FY Qtrs.																	
List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	FY 2023												FY 2024												Date 12/31/2026																	
	1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			O			N			D			J			F			M		
	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M												
Finance (Securing Funds)													XX	XX																												
Planning & Selection														XX	XX	XX																										
Construction																	XX	XX	XX	XX	XX	XX	XX	XX	XX			XX		xx												
PART V.	\$			\$			\$			\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL								
Expected Quarterly Expenditures										1,500,000.00			1,500,000.00			1,000,000.00			1,000,000.00			200,000.00			\$5,200,000.00																	